



mtt

0360

+

PTO/SB/21 (modified)
Approved for use through xx/xx/xx, OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

TRANSMITTAL FORM <i>(to be used for all correspondence during pendency of filed application)</i>	0081/PTO Rev. 10/95	U.S. Department of Commerce Patent and Trademark Office	Application Number	10/046,933
			Filing Date	October 26, 2001
			First Named Inventor	Timothy R. Bratton et al.
			Group Art Unit Number	Unassigned
			Examiner Name	Unassigned
Total Number of Pages in This Submission		9*	Attorney Docket Number	21685-06158

ENCLOSURES (check all that apply)	
<input type="checkbox"/> Fee Transmittal Form (in duplicate) <input type="checkbox"/> Check Enclosed	<input type="checkbox"/> Issue Fee Transmittal
<input checked="" type="checkbox"/> Return Receipt Postcard	<input type="checkbox"/> Letter to Chief Draftsperson
<input type="checkbox"/> Response to Notice to File Missing Parts	<input type="checkbox"/> Formal Drawing(s): [] Sheet(s) of Figure(s) []
<input type="checkbox"/> Assignment & Recordation Cover Sheet	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Declaration	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Power of Attorney	<input type="checkbox"/> Certified Copy of Priority Document(s)
<input type="checkbox"/> Application Data Sheet	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Information Disclosure Statement & PTO-1449 <input checked="" type="checkbox"/> Copies of IDS Cited References	<input type="checkbox"/>
<input type="checkbox"/> Request for Corrected Filing Receipt	<input type="checkbox"/>
<input type="checkbox"/> Request for Correction of Recorded Assignment	<input type="checkbox"/>
<input type="checkbox"/> Amendment/Response: [] Page(s) <input type="checkbox"/> After Final	<input type="checkbox"/>
<input type="checkbox"/> Status Request	<input type="checkbox"/>
<input type="checkbox"/> Revocation and Substitute Power of Attorney	<input type="checkbox"/>

REMARKS: * references not included in page count

SIGNATURE OF ATTORNEY OR AGENT			
Signature:			
Attorney/Reg. No.:	Thomas L. Ewing, Reg. No. 34,328	Dated:	May 14, 2002

CERTIFICATE OF MAILING			
I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10.			
Signature:			
Typed or Printed Name:	Thomas L. Ewing	Dated:	May 14, 2002
Express Mail Mailing Number (optional):			



#8
12-17-DZ
CW

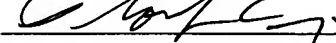
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Timothy R. Bratton et al.
APPLICATION NO.: 10/046,933
FILING DATE: October 26, 2001
TITLE: DELIVERING MEDIA DATA TO PORTABLE COMPUTING DEVICES
EXAMINER: Unassigned
GROUP ART UNIT: Unassigned
ATTY. DKT. NO.: 21685-06158

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Non-Fee Amendment, Commissioner For Patents, Washington, D.C. 20231, on the date shown below:

Dated: May 14, 2002

By: 
Thomas L. Ewing, Reg. No. 34,328

BOX NON-FEE AMENDMENT
COMMISSIONER FOR PATENTS
WASHINGTON, DC. 20231

INFORMATION DISCLOSURE STATEMENT
Under 37 CFR §§ 1.56 and 1.97-98

SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO-1449 listing references for consideration by the Examiner. Enclosed is a copy of each listed reference that may be material to the examination of this application, and for which there may be a duty to disclose.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

This Information Disclosure Statement is being filed:

- ☒ within three months of the filing date of the application, or date of entry into the national stage of an international application, or before the mailing

- date of a first office action on the merits, whichever event last occurred;
- ☐ before the mailing of a first official action after the filing of a request for continued examination (RCE) under 37 CFR § 1.114;
 - ☐ after three months of the filing date of this national application or the date of entry of the national stage in an international application, or after the mailing date of the first official action on the merits, whichever event last occurred, but before the mailing date of the first to occur of either: (1) a final action under 37 CFR § 1.113; or (2) an action that otherwise closes prosecution in the application, and:
 - ☐ attached hereto is the fee set forth under 37 CFR § 1.17(p) for submission of this Information Disclosure Statement under 37 CFR § 1.97(c); OR
 - ☐ Applicant certifies pursuant to 37 CFR § 1.97(e) that:
 - ☐ each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; OR
 - ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement;
 - ☐ before the payment of the issue fee but after the mailing date of the first to occur of either: (1) a final action under 37 CFR § 1.113; or (2) an action that otherwise closes prosecution in the application, and:
 - ☐ Applicant certifies pursuant to 37 CFR § 1.97(e) that:
 - ☐ each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
 - ☐ no item of information contained in this Information

Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement; AND

- ☐ attached hereto is the fee set forth under 37 CFR §1.17(p) for submission of this Information Disclosure Statement under 37 CFR. § 1.97(c); OR
- ☐ after the payment of the issue fee. Applicant request that the information contained in this Information Disclosure Statement be placed in the file according to 37 CFR § 1.97(i), although the information may not be considered by the USPTO.
- ☐ This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application No. [APPLICATION NUMBER], filed on [FILING DATE], and the references cited therein are hereby referenced, but are not required to be provided in this application under 37 CFR § 1.98(d).
- ☐ Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application, and the communication was not received by any individual designated in 37 CFR § 1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement. 37 CFR § 1.704(d).
- ☒ Applicant submits that no fee is required for the consideration of this Information Disclosure Statement.

Applicant wishes to bring Examiner's attention the following co-pending applications:

- 1) U.S. Patent Application No. 09/777,500 entitled "System for Distributed Media Network and Meta Data Server," filed on February 5, 2001, which is assigned to a common assignee;
- 2) U.S. Patent Application No. 09/733,698 entitled "Scheduled Retrieval, Storage and Access of Media Data," filed on December 8, 2000, which is assigned to a common assignee;
- 3) U.S. Patent Application No. 09/663,296 entitled "Dynamic Graphic User Interface," filed on September 15, 2000, which is assigned to a common assignee;
- 4) U.S. Patent Application No. 09/505,486 entitled "Audio Synthesis Using

Digital Sampling of Coded Waveforms," filed on February 16, 2000,
which is assigned to a common assignee; and

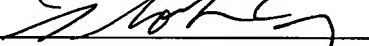
- 5) U.S. Patent Application No. 09/488,047 entitled "Digital Audio and Video
Playback With Performance Complement Testing," filed January 20,
2000, which is assigned to a common assignee.

Consideration of the listed references and favorable action are solicited.

Respectfully submitted,

TIMOTHY R. BRATTON ET AL.

Dated: May 14, 2002

By: 
Thomas L. Ewing, Reg. No. 34,328
Fenwick & West LLP
Two Palo Alto Square
Palo Alto, CA 94306
Tel.: (415) 875-2336
Fax.: (415) 281-1350



Sheet 1 of 3

FORM PTO-1449 (REV. 6-89) INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)	Attorney's Docket No. 21685-06158	Serial No. 10/046,933
	Applicant Timothy R. Bratton et al.	
	Filing Date October 26, 2001	Group Art Unit Unassigned

U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	A1	4,658,093	04/14/87	Martin E. Hellman	380	25	
	A2	4,949,187	08/14/90	Cohen	358	335	
	A3	5,155,591	10/13/92	Wachob	358	86	
	A4	5,319,455	06/07/94	Hoarty <i>et al.</i>	348	7	
	A5	5,404,505	04/04/95	Levinson <i>et al.</i>	395	600	
	A6	5,404,566	04/04/95	Wehrmeyer	455	6.3	
	A7	5,557,242	09/17/96	Wetherell	331	17	
	A8	5,557,541	09/17/96	Schulhof <i>et al.</i>	365	514	
	A9	5,568,181	10/22/96	Greenwood <i>et al.</i>	348	7	
	A10	5,625,783	04/29/97	Ezekiel <i>et al.</i>	395	352	

FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No
	B1	0680214A2	02/11/95	EP	H04N	7/173		
	B2	GB 2 222 057 A	02/21/90	UK	HO4K	1/10		
	B3	EP 0843 449 A2	05/20/98	EP	H04L	29/06		
	B6	EP 0798924A2	09/23/96	European	HO4N	7/173		

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

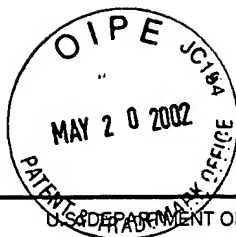
	C1	Hirosuke Yamamoto; <i>On Secret Sharing Communication Systems with Two or Three Channels</i> ; May 1986; ISEE Transactions on Information Theory, Vol. IT-32, No. 3, Pages 387-393
	C2	Freier A O et al: "The SSL Protocol Version 3.0"; Internet Draft, XX, XX, November 18, 1996, Abstracts, 1-72, XP002923866 the whole document
	C3	J.W. Schmidt: "Multimedia Databases"; SOFTWARE SYSTEMS, 'Online! 2000, pages 1 - 13, XP002177792 TU Hamburg-Harburg; Retrieved from the Internet: URL: http://www.sts.harburg.de/teaching/ss-01/MMDB/010419-MMDB%20Session%203.pdf 'retrieved on 9-19-2000, pages 2 - 4

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if references considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

PTO-1449 REV: 02/01

Sheet 2 of 3

21685/06158/SF/5075132.1



FORM PTO-1449

(REV. 6-89)

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

Attorney's Docket No.

21685-06158

Serial No.

10/046,933

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Applicant

Timothy R. Bratton et al.

Filing Date

October 26, 2001

Group Art Unit

Unassigned

U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	A11	5,636,276	06/03/97	Brugger	380	4	
	A12	5,642,417	06/24/97	John W. Stringer	380	4	
	A13	5,721,950	02/24/98	Tobagi et al.	395	826	
	A14	5,75,908	05/26/98	Thomas Edward Cooper et al.	380	4	
	A15	5,761,607	06/02/98	Gudesen et al.	455	6.2	
	A16	5,773,741	06/30/98	Eller et al.	84	609	
	A17	5,787,399	07/28/98	Lee et al.	704	270	
	A18	5,819,160	10/06/98	Foladare et al.	455	45	
	A19	5,826,080	10/20/98	Dworzecki	395	673	
	A20	5,828,904	10/27/98	Batson et al.	395	878	

FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No
	B7	WO 97/12486	03/04/97	PCT International	H04N	7/16		
	B8	WO 98 26559A	06/18/98	PCT	HO4L	29/12		
	B9	WO 98 58315A	12/23/98	PCT	G906F 9/46	17/30		
	B10	WO 01/42900 A2	12/08/00	PCT International	G06F	3/06		
	B11	WO 01/10124 A1	02/08/01	PCT	HO4N	7/173		

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

--	--	--

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if references considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

PTO-1449 REV: 02/01

21685/06158/SF/5075132.1



Sheet 3 of 3

FORM PTO-1449

(REV. 6-89)

DEPARTMENT OF COMMERCE

Patent and Trademark Office

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Attorney's Docket No.

21685-06158

Serial No.

10/046,933

Applicant

Timothy R. Bratton et al.

Filing Date

October 26, 2001

Group Art Unit

Unassigned

U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	A21	5,883,955	03/16/99	Joel A. Ronning	380	4	
	A22	5,889,860	03/30/99	Martin J. Eller et al.	380	4	
	A23	5,892,825	04/06/99	Mages et al.	380	3	
	A24	5,898,892	04/27/99	Gulick et al.	395	872	
	A25	5,931,901	08/03/99	Wolfe et al.	709	206	
	A26	5,937,164	08/10/99	Mages et al.	395	200.48	
	A27	6,006,257	12/21/99	Slezak	709	219	
	A28	6,035,329	03/07/00	Mages et al.	709	217	
	A29	6,202,056	03/13/01	Nuttall	705	52	
	A30	0019604	09/06/01	Joyce et al.	379	114.2	

FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if references considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

PTO-1449
REV: 02/01

21685/06158/SF/5075132.1